

CONSORTIUM *of* EUROPEAN
RESEARCH LIBRARIES



‘THE SUBSTANCE *of* OUR CIVILISATION’

A DEVELOPMENT PLAN
2002 – 2007

June 2005 (version 6)

The Development Plan of the Consortium of European Research Libraries is revised and updated annually.

The phrase 'The Substance of our Civilisation' is borrowed from Karl Popper, *Objective Knowledge: an Evolutionary Approach*, Oxford, 1975, p. 107.

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VISION

The historical collections of European research libraries contain the accumulated riches of European intellectual thought and civilisation. Over the centuries, through dissemination and sometimes by dispersal, the influence of the books that form these collections has spread to all parts of Europe and beyond. Research libraries in Europe share a common European cultural heritage, partly overlapping, but each contributing elements in their collections unique to their individual histories. Together, the historical collections of European research libraries offer a unique source for the study and understanding of the development of European thought. The vision of the Consortium of European Research Libraries is to bring together information about the written heritage of Europe in a unique central resource to assist all those across the world – scholars, teachers, students, librarians, booksellers and lay persons – who work in the field of interpreting European cultural heritage as it has been received in the form of books written or printed before the middle of the 19th century.

Through this initiative European research libraries are investing in a new model of co-operation, within Europe as well as in the wider international context, in which the members are partners and guide the Consortium's development as it assembles and builds up knowledge of the distributed cultural and scholarly heritage of Europe. By harnessing modern technology to design, assemble and develop high-quality tools, mainly distributed electronically, the Consortium's vision is to provide users with convenient access to a single rich historical resource, which will act as an aid and as a stimulus to scholarship, interdisciplinary research, intercultural study and cultural enrichment. From this central resource further applications in related fields will be developed, steered by the views of the members, and responding through ongoing discussion with research scholars and other users to their changing requirements in the networked environment. In an increasingly global world and at a time of greater European integration, it is of the utmost importance to unify, preserve and promote access to the disseminated cultural and scholarly heritage of Europe. The Consortium sees its task as exploiting technology to facilitate unique unified access to cultural and heritage material of unsurpassed importance for the interpretation of European history. It aims at nothing less than offering for this purpose a connected range of indispensable tools.

HISTORY

The genesis of the Consortium of European Research Libraries arose out of the first international Conference on Retrospective Cataloguing in Europe held in Munich in November 1990, under the auspices of the Bayerische Staatsbibliothek, Munich, and the British Library, London, a year or so after the historic political events that changed the face of Europe once again and reshaped its boundaries. Attended by delegates from more than twenty countries, and urged by scholars to promote collaboration among libraries in Europe to provide convenient access to European historical collections, including those in libraries in Central and Eastern Europe, the Conference determined to examine in greater detail how access to databases of the hand press book period could be provided simultaneously throughout Europe, and to other countries that might wish to contribute and have access to European records.¹ A Working Group was set up for this purpose, reporting in December 1991. The report recommended that descriptions of printed books covering the period of the hand press book from the inception of European printing (c.1450) to c.1830 should be brought together in a central database, with the aim of assisting libraries and offering scholars universality of access to knowledge about the description and location of books of the period. The proposal was to establish a ‘consortium’ of European research libraries committed to offering and sharing online records for pre-1830 books, and to investing in solving together the complex issues posed by a continent rich in printed heritage, but one that had until then been largely bounded by national decisions and national solutions that were essentially different in kind and sometimes irreconcilable.

The report’s recommendations were discussed and accepted at the second Munich Conference in January 1992. Following this meeting, a number of libraries were invited to join an ‘interim consortium’, the first meeting of which was held in Paris on 22 April 1992. In 1994 the Consortium of European Research Libraries was constituted as a company limited by guarantee incorporated in England, with worldwide membership. A tender was held to identify a suitable host for the Hand Press Book database, and the contract was awarded in 1995 to the Research Libraries Group (RLG). The file-loading programme began in 1996: the Hand Press Book database became a live file in 1997, and has continued to develop steadily from that date. By the end of 2005 the Hand Press Book database will contain more than 1.8 million records for books of the hand-press period from libraries in almost twenty different European countries. Use of the file has increased steadily over this period, and to assist users, additional tools (for example, the CERL Thesaurus) are actively being developed. By 2005 membership of the Consortium of European Research Libraries comprises 51 Full, Group and Special members and 15 Associate members in 24 countries; 94 smaller European libraries, that are affiliated to CERL members, are allowed free access to the HPB.

¹ *Retrospective Cataloguing in Europe: 15th to 19th Century Printed Materials: Proceedings of the International Conference, Munich 28-30 November 1990*, edited by F G Kaltwasser and J M Smethurst. München: K G Saur, 1992. Objectives and Resolutions on p. 190-191.

AN ONLINE RESOURCE

In assembling and uniting the historical collections in European research libraries in a single rich online resource, the Consortium* offers the essential tools to access and assist in the interpretation of European cultural heritage as brought down to the present in the form of books written or printed before the middle of the 19th century. These tools include the Hand Press Book (HPB) Database, the CERL Thesaurus file, which offers multiple forms of indexing, and additional related services.

■ A RESOURCE FOR RESEARCH LIBRARIES

Consortium member libraries are able to offer free access to the Hand Press Book Database, the CERL Thesaurus and its associated tools, to their users. Through the ‘cluster’ arrangement, Full Members may also extend access to the Hand Press Book Database and the CERL Thesaurus to a number of other libraries with which they have links. The Hand Press Book Database and the CERL Thesaurus offer unique resources for scholars and researchers, and for everyone with an interest in exploring the written heritage of Europe.

At the same time, the Hand Press Book Database provides access to records for early printed materials prepared by specialist cataloguing staff. These records can either be downloaded directly into a library’s local system, or detailed information in individual records may be incorporated into local records. The CERL Thesaurus gives cataloguing staff a unique overview of which authoritative forms for authors, printers and place names are in use throughout Europe.

The Hand Press Book Database presents records that describe books in actual library collections in European research libraries, and places these records in the context of pan-European culture.

The Hand Press Book Database provides online access to collections that are not available through existing online catalogues.

The Hand Press Book Database can be utilized by member libraries for other relevant purposes, e.g. identifying the location of specific copies.

■ A RESOURCE FOR SCHOLARSHIP AND RESEARCH

The Hand Press Book Database is a unique resource for scholars and researchers, which brings together in a single online resource the diverse holdings of pre-1830 printed books in European libraries. The CERL Thesaurus assists scholars and researchers to search on a variety of forms, including place names, printers and authors.

The Hand Press Book Database provides in a single location records that illustrate the scope of print production (i.e. what was printed, where, when, and by whom); the spread of ideas (where texts were first printed, and their dissemination both in the original language and in translation); and the historical growth, development and movement of

* The Consortium of European Research Libraries (CERL), hereafter referred to as the Consortium.

collections. Both the Hand Press Book Database and the CERL Thesaurus illustrate aspects of the book trade. They provide a valuable resource for those teaching courses on the History of the Book.

Since records from a number of libraries and other institutions are brought together in one place, and through the notes provided by specialised cataloguing staff throughout Europe, users are given valuable information about texts, editions, printers, as well as information about the physical form of books such as bindings, illustrations and previous owners. Users are also assisted by the CERL Thesaurus, which makes available multiple forms of special indexing.

▪ **A RESOURCE FOR OTHER CULTURAL INSTITUTIONS AND SPECIALIST USERS**

The Hand Press Book Database can be used as a resource for the preparation of sale catalogues by the antiquarian book trade. The detailed records available can offer information about the particular edition; the notes provided place the edition in context; and the physical description provides easy verification of the completeness of the copy held.

The Hand Press Book Database is also available for use as a research source by museums of the book, and may also offer assistance for other purposes, e.g. as a means of identifying the location of copies required for exhibition.

The scope for extending the range of potential users of the Hand Press Database and the CERL Thesaurus is being continuously investigated, and the Consortium's aim is to make the contents of the Database and its associated tools accessible to the widest spectrum of potential users.

THE CONSORTIUM'S AIMS

2002 – 2007

1. TO DEVELOP AND EXPAND THE HAND PRESS BOOK DATABASE AND THE CERL THESAURUS AS A UNIQUE ONLINE RESOURCE, AND PROVIDE APPROPRIATE USER SUPPORT.
2. TO PROVIDE USERS WITH INTEGRATED ACCESS TO OTHER RELATED TOOLS.
3. TO DEVELOP ASSOCIATED TOOLS WITH THE OBJECT OF ENHANCING ACCESS AND ASSISTING IN THE INTERPRETATION OF EUROPEAN CULTURAL HERITAGE AS BROUGHT DOWN TO THE PRESENT IN THE FORM OF BOOKS WRITTEN OR PRINTED BEFORE THE MIDDLE OF THE 19th CENTURY.
4. TO DEVELOP SERVICES TO ASSIST CERL MEMBERS AND NON-MEMBERS IN THE STUDY AND INTERPRETATION OF WRITTEN CULTURE PRODUCED BEFORE THE MIDDLE OF THE 19th CENTURY AND RELATED CULTURAL MATERIALS.
5. TO PROMOTE THE CONSORTIUM'S DATABASES, SERVICES, AND OTHER ASSOCIATED ACTIVITIES.
6. TO DEVELOP AND CONSOLIDATE THE CONSORTIUM'S POSITION IN THE EUROPEAN AND INTERNATIONAL INFORMATION LANDSCAPE.

THE CONSORTIUM'S OBJECTIVES

2002 – 2007

- I. To develop and expand the Hand Press Book Database and the CERL Thesaurus as a unique online resource, and provide appropriate user support

HAND PRESS BOOK DATABASE

- **FILES**
 - **Maintain an active Hand Press Book file-loading programme**
 - Respond actively to offers of files from member libraries and others.
 - Develop a strategic approach to supplementing the Database's files.
 - Solicit relevant files to strengthen existing coverage of the Database.
 - Provide five file loads to the host provider (Research Libraries Group) annually.
 - Investigate the future of format and cataloguing rules and its impact on the functional development of the HPB Database.
- **MATERIALS**
 - **Build up a wide-ranging and representative Hand Press Book Database**
 - Prepare an overall policy plan for the development and expansion of the Database.
 - Encourage members to contribute their records for other relevant materials.
 - Include national bibliographies where they exist, or include records from such institutions that offer good representation of their national print production.
 - Include special catalogues, since these show the importance of describing collections, particularly in cases where subsequent dispersal may occur.
- **QUALITY**
 - **Ensure quality assurance in the records in the Hand Press Book Database**
 - Maintain good evaluation of files that are offered, and a high standard of file analysis for the first file load.
 - Encourage file providers to send regular file updates (including corrected records).
 - Ensure that corrections suggested through the Scholar's Notepad are incorporated in the Hand Press Book Database.
 - Implement the 'Input/Edit' facility to enable Hand Press Book records to be edited online.
- **SEARCH INTERFACE**
 - **Promote improvements to RLG's Eureka on the Web search interface**
 - Improve quality of searching.
 - Encourage RLG to develop Cross File searching.
 - Provide access to the RLIN Authority files via Eureka on the Web.

- USER SUPPORT

▪ Provide appropriate user support

- Demonstrate the Hand Press Book Database at seminars and workshops.
- Update and maintain the Manual for Searching the HPB via Eureka on the Web.
- Respond to user queries in a timely fashion.
- Liaise with RLG regarding user queries.
- Maintain on-going consultation (through questionnaires, direct contacts, etc.) with users in order to remain aware of their *desiderata* in regard to new developments.

CERL THESAURUS

- FILES

▪ Develop an active CERL Thesaurus file-loading programme

- Solicit relevant files to strengthen existing coverage of the CERL Thesaurus.
- Respond actively to offers of files from member libraries and others.
- Develop a strategic approach to supplementing the CERL Thesaurus.
- Maintain and develop the CERL Thesaurus record format.
- Ensure that place name, imprint name and personal name variants occurring in the HPB database are also included in the CERL Thesaurus.

- MATERIALS

▪ Build up a wide-ranging and representative CERL Thesaurus

- Prepare an overall policy plan for the development and expansion of the CERL Thesaurus.
- Include specialist reference works (e.g. Graesse, Mellot, Getty TGN, etc.).
- Investigate the addition of further thesauri, for example:
 - an overview of printers' devices
 - owners and donors
 - bindings
- Investigate the implementation of a clickable map of places of printing.

- QUALITY

▪ Ensure quality assurance in CERL Thesaurus records

- Develop and evaluate an editing and cataloguing protocol.
- Encourage users of the CERL Thesaurus to supply corrections/additions.
- Devise a mechanism to permit easy incorporation of amendments.
- Evaluate the effectiveness of algorithmic matching of personal and imprint names.

- INTERFACE

▪ Ensure proper search and display facilities

- Liaise with RLG regarding the implementation of Assisted Searching using the CERL Thesaurus.
- Sort printers that are linked with a specific place of printing in chronological order.
- Provide access to the CERL Thesaurus by printers' and publishers' name, by place of activity and by chronology.

- USER SUPPORT

▪ Provide appropriate user support

- Publicise the CERL Thesaurus at appropriate specialist conferences, seminars, workshops and through publications.
- Update and maintain the Help text for the CERL Thesaurus, and provide translations.
- Respond to user queries in a timely fashion.
- Maintain on-going consultation with users to remain aware of their *desiderata* in regard to new developments.

2. To provide users with integrated access to other related tools

- **Develop the Consortium's online resource so that it becomes the starting point for all researchers using books written or printed before the middle of the 19th century.**
 - Identify further relevant (web-based) resources.
 - Negotiate with the providers of these resources to provide CERL members with access at advantageous rates.
 - Ensure integrated access to these resources, for example through a web-based CERL portal.
- **Develop Assisted Searching using the CERL Thesaurus via Eureka on the Web***
 - Implement Assisted searching for names in the imprint field (RLG).
 - Implement Assisted Searching for personal names (RLG).
 - Develop the use of Assisted Searching further, for instance in combined searches.
- **Develop Cross File Searching**
 - Develop Cross File Searching of HPB/ESTC/BIB files through one single interface, resulting in a combined search result.
 - Investigate whether Cross File Searching can be expanded to other files held by RLG.
 - Investigate the provision of Cross File searching between the HPB Database and other Web resources.
- **Links to digital images and full-text projects in the Hand Press Book Database**
 - Identify relevant sources of digital and full-text material.
 - Encourage the provision of electronic links to this material in HPB records.
 - Investigate, in liaison with the Research Libraries Group and the Advisory Task Group, how links can best be incorporated in the Hand Press Book Database.

* The CERL Thesaurus is linked to the HPB Database via Eureka on the Web. When searching the HPB Database, users are automatically provided with all variant forms of imprint places, names from the imprint field, and personal names from the CERL Thesaurus file, which are then used as search terms in the HPB database, thus greatly enhancing the 'hit' rate of HPB searches.

3. To develop associated tools with the object of enhancing access and assisting in the interpretation of European cultural heritage as brought down to the present in the form of books written or printed before the middle of the 19th century
 - **Develop an Input/Edit facility**
 - Investigate with RLG the possibility of setting up a facility to allow Hand Press Book records to be edited or added directly.
 - Encourage RLG to apply a web-based input/edit facility to the Hand Press Book Database.
 - Prepare and issue a Manual for the use of this facility.
 - Monitor take-up and use of this editing facility.
 - **Develop a Scholar's Notepad for the Hand Press Book Database**
 - Develop a facility that will allow scholars to submit, in electronic format, their notes on Hand Press Book records.
 - Ensure that such notes are made available to other users for information and for comment/response.
 - Promote use of the Scholar's Notepad.
 - Ensure that these new insights are incorporated in the Hand Press Book Database.
 - **Develop a Scholar's Notepad for the CERL Thesaurus**
 - Develop a facility that will allow scholars to submit, in electronic format, their suggestions for improvements of CERL Thesaurus data.
 - Ensure that such suggestions are vetted and integrated into the CERL Thesaurus.
 - Promote use of the Scholar's Notepad.
 - **Make Hand Press Book sub-sets available on the Web***
 - Evaluate the success of the Cathedral Libraries Catalogue as a 'pilot' case.
 - Investigate scope for offering other Hand Press Book sub-sets in this way, and if positive, identify suitable sub-sets.
 - **Continue to explore integrated access to Web-based manuscript material**
 - Identify suitable collections of manuscript material (bibliographical and digital).
 - Identify technical options for the development of integrated access to Web-based manuscript material in line with the work of the Manuscripts Working Group.
 - Ensure that any model identified integrates with existing CERL tools, and pan-European state-of-the-art practice.

■ **Consider and investigate possible future development issues, including those topics currently under discussion:**

- Implement and maintain on-going discussion (through questionnaires, surveys, e-mail contacts and direct conversions) with users on future requirements and respond appropriately.
- Investigate the practicalities of linking the Hand Press Book Database to full-text projects.
- Investigate whether the present provisions for provenance and copy-specific information are adequate, and examine how information about owners (both individual and ‘corporate’, e.g. monasteries) can be included, or a link provided.
- Identify ways in which the Hand Press Book Database can benefit from derived cataloguing carried out in member libraries.
- Investigate the provision of access to archival materials in member libraries.

Consortium members are invited to make further suggestions.

4. To develop services to assist CERL members and non-members in the study and interpretation of written culture produced before the middle of the 19th century and related cultural materials.

- **Establish a Services Working Group**
 - Ensure appropriate member representation
 - The Working Group reported to the AGM of November 2003
 - Follow up the results of the Services Questionnaire
- **The Services Working Group was set up to investigate the following issues:**
 - Identify common facilities that CERL members could offer to one another as part of membership, and make proposals on how these common facilities could be implemented.
 - Identify the range of services that could be developed and offered to the various communities using the Hand Press Book database and its associated tools.
 - Identify services where CERL could form a common purpose with other organisations, and develop joint services.
 - Arrange these services in order of importance for development and delivery; and taking into account factors of timetable, practicality and feasibility, identify the cost implications of possible service development.

5. To promote the Consortium's databases, services, and associated activities

- **Promote the Consortium's services and related activities**
 - Encourage and develop promotion of Hand Press Book Database to readers in member libraries by providing Consortium Members with suitable promotion materials.
 - Promote the CERL Thesaurus file, as well as Assisted Searching.
 - Provide literature on a regular basis to assist member libraries in promoting the Hand Press Book database, CERL Thesaurus file, Assisted Searching, and CERL development plans to users.
 - Promote the Seminar associated with the Consortium's Annual General Meeting.
- **Promote the facilities available to Consortium Members**
 - Promote the use of the English Short-Title Catalogue (ESTC) for Consortium members.
 - Promote the use of the RLG Union Catalogue and Authority files for Consortium members.
 - Promote the use of the SCIPPIO database for Consortium members.
- **Continue to implement, update and develop the Consortium's Promotion and Marketing Plan**
 - Maintain and develop the Consortium's 'corporate' identity.
 - Develop, maintain and promote the Consortium's website, and ensure reciprocal links to and from the sites of relevant organisations (e.g. national Library Associations, etc.).
 - Develop, update and maintain a database of individuals, groups and constituencies relevant to the Consortium's activities.
 - Produce appropriate publicity material.
- **Promote the work of the Consortium within Europe and internationally**
 - Arrange and present a programme of seminars and workshops.
 - Promote new CERL developments.
 - Publicise the Consortium's development plans.
 - Publish articles and present Conference papers.
 - Send out regular news features (such as the CERL Newsletter).
 - Utilise the Consortium's website to promote current and new developments.

6. To develop and consolidate the Consortium's position in the European and international information landscape

- **Develop links and liaise with relevant (printed book and manuscripts) specialist organisations**
- **RARE BOOK SPECIALIST ORGANISATIONS**
 - Liaise with, among others:
 - IFLA - International Federation of Library Associations
 - Section on Rare Books and Manuscripts
 - Section on Universal Bibliographic Control and International MARC
 - other relevant sections
 - SHARP - Society for the History of Authorship, Reading and Publishing
 - Bibliographical Society, UK
 - Bibliopolis (KB The Hague)
 - International League of Antiquarian Booksellers, and various international Antiquarian Booksellers Associations
- **MANUSCRIPT PROJECTS**
 - Liaise with, among others:
 - Projects as recommended by the Manuscripts Working Group
 - **Liaise and form partnerships, where appropriate, with relevant organizations or projects in Europe**
 - Take active account of the programmes of the European Commission, including the 6th Framework Programme (2002-2006).
 - Actively consider other potential funding sources.
 - Consult with Consortium members to identify other relevant organisations.
- **LIBRARY ORGANISATIONS**
 - Liaise with, among others:
 - LIBER - Ligue des Bibliothèques Européennes de Recherche
 - CENL - Conference of European National Librarians
 - OCLC PICA
 - RLG - Research Libraries Group
 - The European Library
 - EROMM - European Register of Microform Masters
 - ECPA - European Commission on Preservation and Access
- **RELEVANT PROJECTS**
 - Develop further awareness of projects and organisations such as
 - Culture 2000
 - E-Europe
 - FRANAR -Functional Requirements and Numbering of Authority Records.
 - Interparty
 - Minerva (European network for the exchange of experiences in digitisation and good practices among Ministries of Culture, Universities, research institutions, local authorities and professional associations).
 - ONE-2 (developing the use of Z39.50 protocols)
 - Renardus (provision of high quality Web resources for use in higher education)
 - **Develop links with relevant organisations outside Europe**
 - Consult with Consortium members to identify relevant organisations, e.g. national Library Associations

THE HAND PRESS BOOK DATABASE

LIST OF FILES INCLUDED UP TO 2005

		Number of records	Cumulative total	ESTC
1997				
1	BSB Munchen	526,920		
2	KB Stockholm – SB17	48,946		
3	NUL Zagreb	2,346		
4	ICCU – SBN(A)	45,307		
5	BnF Paris	27,935		
6	NL Scotland	14,287		
	<i>Total</i>	<i>665,741</i>	<i>665,741</i>	
1998				
7	NUL Ljubljana	18,837		
8	KB The Hague – STCN	56,921		
9	BL - K17	24,725		
	<i>Total</i>	<i>100,483</i>	<i>766,224</i>	
1999				
10	BL – ISTC	28,892		
11	BNE	11,054		
	Update: ICCU/SBN(A)	15,472		
	<i>Total</i>	<i>55,947</i>	<i>822,171</i>	
2000				
12	Oxford – EPB project	44,555		
13	KB Stockholm – SB16	6,021		
	<i>Total</i>	<i>50,576</i>	<i>872,747</i>	<i>461,562</i>
2001				
14	NLR	8,321		
15	ULL	38,613		
16	CLC	25,718		
	Updates: ICCU/SBN(A)	79,571		
	KB The Hague – STCN	44,913		
	<i>Total</i>	<i>197,136</i>	<i>1,069,883</i>	<i>464,087</i>
2002				
17	Warsaw UL	1,866		
18	SUB Göttingen	157,317		
19	Wellcome Institute	51,640		
	Updates: NLR	1548		
	BNE	3503		
	<i>Total</i>	<i>215,874</i>	<i>1,285,757</i>	<i>466,414</i>
2003				
20	VD16 Supplement (BSB)	26,975		
21	UL Yale	270,744		
	Updates: Oxford Libraries	31,480		
	Univ. of London Libs	6,596		
	<i>Total</i>	<i>335,795</i>	<i>1,621,552</i>	<i>468,361</i>
2004				
22	NL Wales	8,125		
	Updates: NLR	10,610		
	UL Warsaw	1,072		
	NL Croatia	5,864		
	<i>Total</i>	<i>c. 25,700</i>	<i>c. 1,647,200</i>	<i>468,450</i>
Total HPB and ESTC combined: c. 2,115,675				

It should be noted that many files in the Hand Press Book Database are regularly added to by the provision of updates of records from contributing member libraries.

Full file details may be found on <http://www.cerl.org/HPB/hpb.htm>.

PROJECTED FILE-LOADING SCHEDULE – 2005

It is expected that by the end of 2005 six new files will have been added to the Hand Press Book Database. In combination with updates to a number of files, CERL will add at least 157,000 records to the database, bringing the total to c. 1,8 million records

New files				
1	NL Hungary	c. 13,000	XML	
2	NL Lithuania	2,442	UNIMARC	
3	KB Copenhagen	c. 51,000	UNIMARC	
4	UL Helsinki – Fennica	19,400	MARC21	
5	KB The Hague, DNB 1801-1832	?	Pica+	To be converted to UNIMARC
6	4 Polish libraries' German holdings	30,500		Final corrections required
Updates				
	ICCU – SBN(A)	?	UNIMARC	
	NLW	5,467	MARC21	
	STCN	28,000 new records	Pica+	40,000 amended records
	SUB Göttingen	?	UNIMARC	

FURTHER FILES FOR INCLUSION UP TO 2007

The following files have been offered or, in the case of files marked with an asterisk, they have been suggested or proposed (the files are ordered by place name).

1. c. 12,000 records from the Bibliothèque Royale Albert Ier, Brussels.
2. c. 100,000 UNIMARC records, Libri Antichi in Toscana, Regione Toscana, Florence.
3. 11,451 records from the *Zeitschriften Datenbank* (SUB Göttingen, BSB München, and SBB Berlin and their clusters' holdings only) – via DCG, Göttingen.
4. c. 3,986 UNIMARC records of 16th-century Spanish and 16th- and 17th-century Portuguese material as well as the Elsevier collection in the Biblioteca Nacional, Lisbon.
5. 12,854 UKMARC records from the Scandinavian Short-Title Catalogue of the British Library, London.
6. c. 100,000 UNIMARC records – Biblioteca Brancacciana from the Biblioteca Nazionale, Naples.
7. STT 1501-1800, National Library of the Czech Republic, Prague.
8. University of Salamanca.
9. c. 12,500 MARC21 records of Swedish Legal material, Kungliga biblioteket, Stockholm.

Suggested:

10. *Gesamtkatalog der Wiegendrucke*, Berlin?*
11. National Art Library, Victoria and Albert Museum, London*
12. Catalog collectif de France* (includes Bibliothèque municipale, Lyon)
13. BSB-Ink, Bayerische Staatsbibliothek, München.*
14. VD-17, held by the Bayerische Staatsbibliothek, München.*
15. Skokloster, Sweden*

Notes

HPB file loads

- Normally, CERL aims to send five files to RLG for inclusion in the HPB.

Timetable

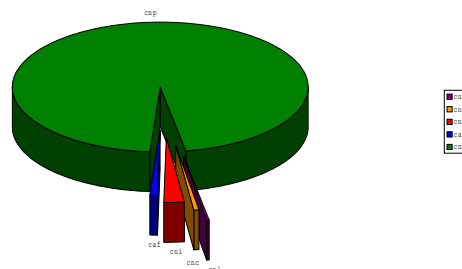
- Because of differing national structures and formats, preparing a file for loading on the HPB Database can be a lengthy and complex process. The file must be prepared by the file provider, sent to CERL, analysed by CERL, any necessary corrections must be made by the file provider, the file must be re-submitted, and it is then passed to RLG. This process may take a year or more, and it is for this reason that CERL is working on a large number of files simultaneously.

THE CERL THESAURUS FILE

RECORD STATISTICS 2005

Types of records

source references (caf)	2,104
corporate names (cnc)	3,725
imprint names (cni)	13,812
place names (cnl)	3,599
personal names (cnp)	594,289
records in total	617,529



Key for the graphic enlarged:



Origin of records

	<i>records in the CT²</i>	<i>original records</i>
BnF Paris	6,954	6,954
BSB München	2,617	2,618
ESTC	53,916	54,296
NL Zagreb	946	949
PND	519,521	519,866
STCN	30,999	31,346
UL Warsaw	301	303
VD16	13,245	-- ³
unknown or manually inserted	1531	-- ⁴

² Duplicates within a source file, which have been processed so far, are counted only once. The differences to the figures in the next column indicate the number of duplicates within the respective file, which have already been merged.

³ The records of the VD16 have been created from a larger number of see-reference records before upload. Therefore the number of original records cannot be compared to those from other files.

⁴ This cannot be detected algorithmically.

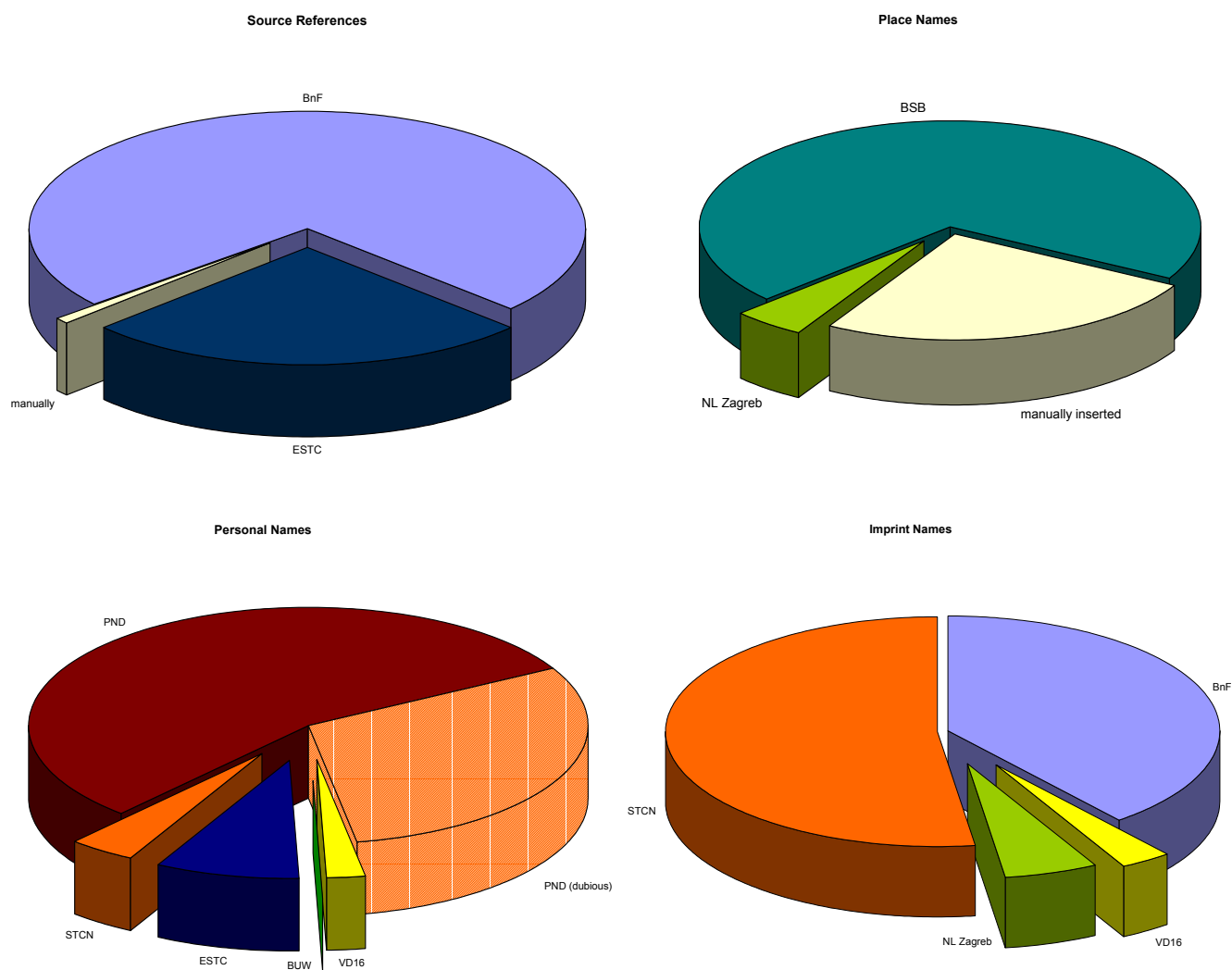


Fig. 1: Origin of Records

Further contributions of files from member libraries are actively welcomed.

THE CONSORTIUM'S MANAGEMENT

A pre-requisite of the plan is that the Consortium will continue to be run and administered effectively as a company responsible to its membership. During the period of the Plan the following principles will guide development.

▪ **SOUND FINANCIAL MANAGEMENT**

Annual budgets will be prepared and expenditure will be carefully monitored against budgets; optimum value for money will be sought in all the Consortium's transactions; compatible with a safe and secure working environment for staff, central administration costs will be minimised; the level of the membership fee will be monitored closely throughout the period, with the aim of seeking reductions in the level of the fee as and when increased membership permit.

▪ **MEMBERSHIP EXPANSION**

Membership will be actively promoted to libraries not already in membership, and to national academies, learned societies and other learned communities; steps will be taken to promote membership to organisations with an interest in the culture of the book, i.e. museums, centres for the book, and the antiquarian book trade; consideration will continue to be given to developing forms of membership that take account of the widely differing circumstances in countries with collections rich in European cultural heritage; forms of individual membership will be actively identified and investigated; the advantages of the 'cluster' scheme will be promoted to Consortium Full Members.

▪ **MAINTENANCE AND DEVELOPMENT OF STAFF EXPERTISE**

Requirements for additional expertise will be monitored and the necessary action (including staff training) will be taken; awareness of and openness to new technological developments will be fostered; a team approach will be sustained and developed. CERL has agreed and implemented Remuneration Policy.

▪ **EFFECTIVE COMMUNICATION**

Regular consultation on Consortium policy issues will take place; member participation in the Consortium's activities will be actively fostered; member representation on the Board of Directors and all Committees will be ensured; the Annual General Meeting will be arranged annually in November; the Consortium's Newsletter will be produced twice a year (December and June); monthly search statistics will be produced and distributed to members.

THE CONSORTIUM'S COMMITTEES

The Consortium of European Research Libraries is constituted as a company limited by guarantee incorporated in England. Its Articles of Association, Rules and Regulations and Memorandum of Association, as well as the text of the Funding Agreement, are all available on the Consortium's website (<http://www.cerl.org/CERL/cerl.htm>, select 'Legal documents'). Membership is open to libraries and similar institutions anywhere in the world. All Full, Group and Special Members are partners in the company. The day-to-day management of the Consortium is in the hands of:

Chairman: Dr Ann Matheson
 Secretary: Dr David J. Shaw
 Executive Manager: Drs Marian Lefferts
 MSS Project Manager: Drs Liesbeth Oskamp (from 1/3/05-30/11/05)

The **Board of Directors** (8) meets at least once a year and is elected by the members at the Annual General Meeting. The Board of Directors ratifies the annual financial report and the Chairman's Annual Report.

Chairman: Dr Ann Matheson
 Secretary: Dr David Shaw
 Dr Osvaldo Avallone, Biblioteca Nazionale Centrale, Rome
 Dr Jan Bos, Koninklijke Bibliotheek, The Hague
 Dr Fernanda Campos, Biblioteca Nacional, Lisbon
 Mr François Dupuigrenet Desroussilles, ENSSIB, Lyon
 Dr Kai Ekholm, Helsinki University Library
 Mme Renée Herbouze, Bibliothèque nationale de France, Paris
 Prof Dr Elmar Mittler, Niedersächsische Staats- und Universitätsbibliothek, Göttingen
 Dr Vladimir Zaitsev, National Library of Russia, St Petersburg

The **Executive Committee** (11) meets at least three times a year and is elected by the members at the Annual General Meeting. The Executive Committee is responsible for formulating the Consortium's policies and programme of work, monitoring income and expenditure, and for overseeing the budget forecast for the coming financial year.

Chairman: Dr Ann Matheson
 Secretary: Drs Marian Lefferts
 Mr Ivan Boserup, Kongelige Biblioteket, Copenhagen
 Dr Gerd-J. Bötte, Staatsbibliothek, Berlin
 Dr Claudia Fabian, Bayerische Staatsbibliothek, München
 Dr Monique Hulvey, Bibliothèque municipale, Lyon
 Dr Kristian Jensen, British Library, London
 Mrs Gunilla Jonsson, Kungliga Biblioteket, Stockholm
 Dr Olga Koulish, National Library of Russia, St Petersburg
 Dr Claudia Leoncini, Istituto Centrale per il Catalogo Unico, Rome
 Mme Eve Netchine, Bibliothèque nationale de France, Paris
 Dr Werner Schwartz, Niedersächsische Staats- und Universitätsbibliothek, Göttingen
 Dr Margherita Spinazzola, Soprintendenza per i beni librari e documentari, Bologna

A small expert group, the **Advisory Task Group (ATG)**, is responsible for supporting the development work for the Database. It has been closely involved in defining the technical specification for record structures, with particular reference to search strategies and input/edit systems.

Chairman: Dr Mirna Willer, National and University Library, Zagreb

Mr Ivan Boserup, Kongelige Bibliotek, Copenhagen

Dr Gerd-J. Bötte, Staatsbibliothek, Berlin

Mr Alan Danskin, British Library, Boston Spa

Dr Claudia Fabian, Bayerische Staatsbibliothek, München

Dr Claudia Leoncini, Istituto Centrale per il Catalogo Unico, Rome

Mme Eve Netchine, Bibliothèque nationale de France, Paris

Dr Werner Schwartz, Niedersächsische Staats- und Universitätsbibliothek, Göttingen

Corresponding Members:

Mrs Paula Goossens, Koninklijke Bibliotheek Albert I, Brussels

Mrs Gunilla Jonsson, Kungliga biblioteket, Stockholm

Dr Vladimir Skvortsov, National Library of Russia, St Petersburg

Consultants

Mr Anthony G Curwen, Aberystwyth

Data Conversion Group, Göttingen

Mrs Ute Klier, München

FORMS OF MEMBERSHIP

Full Membership

Membership fee: € 8,000 per annum

A Full Member is a partner in the company with full voting rights and has signed the Articles of Association and the Funding Agreement committing for three consecutive years to an annual contribution to the Consortium. Membership allows access to and full use of the records in the HPB Database, and to such of the RLIN databases as the Consortium can allow on terms as negotiated by the Consortium every year. Non-European members are admitted on the same terms as European members.

A Full Member may invite up to fifteen smaller libraries with whom it has a close working relationship, and who have important collections and/or automated files in progress of significance to the HPB Database, which in due course may benefit, to join the Consortium as **Cluster libraries**. These libraries will have no voting rights, and free access to the HPB and ESTC Databases only.

Group Membership

Membership fee: €8,000 per annum plus €3,500 for each member

Group membership allows a group of libraries to become Full members at a reduced rate. Originally, a group had to be formed by six (or a multiple of six) institutions within a country.

At the Annual General Meeting in 2004, the Consortium approved new rules which will allow more flexible Group membership. Existing groups will continue in their present form until their three-year funding period expires. The essentials of the new scheme are:

- A Group pays a subscription, committed for three years, at a rate calculated from a Group fee plus an individual fee for each participating library.
- One institution in the Group undertakes responsibility for full payment by the Group members.
- Only one national or state library is allowed to be part of each Group.
- Groups may have multi-national membership.
- A Group is entitled to up to four votes at CERL meetings, depending on its size.
- Access to the HPB Database and to such of the RLIN databases as the Consortium can allow is for each member of the Group on the same terms as for Full Members.

The overall effect of the new Group membership is that the average subscription for each participant is cheaper than the cost of Full Membership, especially in the case of large Groups. Further details about the new Group Membership scheme can be found on the CERL web site.

Special Membership

Membership fee: € 1,000 per annum

To this category belong museums of the book, small collections and special libraries or institutions not normally funded by public funding. The final decision on whether an institution will be accepted as a Special Member lies with the Board of Directors. Special Members pay a reduced fee annually. Special Members have full voting rights but are not eligible to stand for election to the Executive Committee. Access to the HPB Database and to such of the RLIN databases as the Consortium can allow will not be on the same advantageous terms as that of Full Members, and may be subject to search fees.

Associate Membership

Membership fee: € 150 per annum

Associate Membership is offered to organisations where financial or technical constraints make it impossible to join the Consortium immediately as Full Members, but where there is a clear intention to become a Full Member when the constraints are removed. Associate Membership is granted for a period of three years and will be reviewed at the end of this period. An Associate Member is entitled to receive information about the Consortium's work, and to attend the Consortium's open meetings as observers.

LIST OF MEMBERS

(at June 2005)

The Consortium has 51 Full, Group and Special Members in 17 countries, and 15 Associate Members in 12 different countries. From 2001, a number of Consortium Members have taken advantage of the facility for Cluster Membership: currently, there are 12 Member Libraries through which a total of 94 'Cluster Libraries' have gained access to the Hand Press Book Database and the English Short-Title Catalogue.

Full Members (33)

- Belgium
 - Bibliothèque Royale Albert Ier, Brussels
- Croatia
 - Nacionalna i sveučilišna knjižnica, Zagreb
- Denmark
 - Det Kongelige Bibliotek, Copenhagen
- Finland
 - Åbo Akademi University Library
 - National and University Library, Helsinki
- France
 - Bibliothèque municipale, Lyon
 - Ecole nationale supérieure des sciences de l'information et des bibliothèques (ENSSIB), Lyon
 - Bibliothèque nationale de France, Paris
- Germany
 - Bayerische Staatsbibliothek, Munich
 - Niedersächsische Staats- und Universitätsbibliothek, Göttingen
 - Staatsbibliothek zu Berlin, Preussischer Kulturbesitz, Berlin
- Italy
 - Soprintendenza per i beni librari e documentari delle regione Emilia-Romagna, Bologna
 - Biblioteca Nazionale Centrale, Florence
 - Regione Toscana, Florence
 - Biblioteca Nazionale Vittorio Emanuele III, Naples
 - CAB - Centro di Ateneo per le Biblioteche, Università degli studi di Padova, Padua
- Biblioteca Nazionale Centrale, Rome
- Istituto Centrale per il Catalogo Unico, Rome
- Biblioteca Marciana, Venice
- Lithuania
 - National Library of Lithuania, Vilnius
- The Netherlands
 - Koninklijke Bibliotheek, The Hague
- Portugal
 - Biblioteca Nacional, Lisbon
- Russia
 - National Library of Russia, St Petersburg
 - Russian State Library, Moscow
- Spain
 - Biblioteca Nacional de España, Madrid
- Sweden
 - Kungliga biblioteket, Stockholm
 - Universitetsbiblioteket, Uppsala
- UK
 - The British Library, London
 - The National Library of Scotland, Edinburgh
 - The National Library of Wales, Aberystwyth
 - University of London Libraries
 - University of Oxford Libraries
- USA
 - Yale University Library

Group Members (6)

- Hungary
 - National Széchényi Library, Budapest

- Library of the Hungarian Academy of Sciences, Budapest
- Library of the Loránd Eötvös University, Budapest
- University and National Library, Debrecen
- Library of the University of Szeged
- Library of Pécs University

Special Members (12)

- Belgium
 - Museum Plantin-Moretus, Antwerp
- Italy
 - CASB – Centro d'Ateneo per i Servizi Bibliotecari, University of Macerata
- Switzerland
 - Antiquariat Bibernmühle, Ramsen
- UK
 - Bernard Quaritch Ltd, London
 - Christie's Book Department, London
 - C. R. Johnson Rare Book Collections, London
 - Maggs Bros. Ltd, London
 - Ximenes Rare Books Inc., Kempston, Gloucestershire
- USA
 - Center for Bibliographical Studies and Research, Riverside, California
 - Christie's Book Department, New York
 - Jonathan A. Hill, New York
 - Piney Glen Antiquarian Books, Potomac, Maryland

Associate Members (15)

- Czech Republic
 - National Library, Prague
- Estonia
 - Consortium of Estonian Research Libraries, Tartu
- Ireland
 - Royal Irish Academy, Dublin
- Latvia
 - Latvian Academic Library, Riga
 - Latvian National Library, Riga
- Lithuania
 - University Library, Vilnius
- Norway
 - University Library, Oslo
- Poland

- The Warsaw University Library
- Russia
 - Library of the Academy of Sciences, St Petersburg
- Slovakia
 - University Library, Bratislava
- Spain
 - Biblioteca Nacional de Catalunya, Barcelona
- Switzerland
 - Stadt- und Universitätsbibliothek, Bern
- UK
 - The Brotherton Library, University of Leeds
 - St Andrews University Library, St Andrews
 - St Bride Printing Library, London

Cluster Libraries

- Croatia
 - National and University Library, Zagreb
 - The Research Library, Dubrovnik
 - The Research Library, Zadar
 - University Library, Rijeka
 - University Library, Split
 - State Archive Library, Zadar
 - Library of the Croatian Academy of Science and Arts
- Germany
 - Bayerische Staatsbibliothek München
 - Staatliche Bibliothek (Schloßbibliothek), Ansbach
 - Hofbibliothek, Aschaffenburg
 - Staats- und Stadtbibliothek, Augsburg
 - Staatsbibliothek, Bamberg
 - Landesbibliothek, Coburg
 - Studienbibliothek, Dillingen a.d. Donau
 - Staatliche Bibliothek (Provinzial-B), Neuburg a.d. Donau
 - Staatliche Bibliothek, Passau
 - Staatliche Bibliothek, Regensburg
 - Niedersächsische Staats- und Universitätsbibliothek, Göttingen
 - Bibliothek der Technischen Universität, Braunschweig

- Johannes a Lasco Bibliothek
Grosse Kirche, Emden
- Universitätsbibliothek,
Greifswald
- Bibliothek der Franckeschen
Stiftungen, Halle
- Niedersächsische
Landesbibliothek, Hannover
- Dombibliothek, Hildesheim
- Universitätsbibliothek,
Oldenburg
- Universitätsbibliothek, Rostock
- Herzogin Anna Amalia
Bibliothek, Weimar
- Herzog August Bibliothek,
Wolfenbüttel
- Italy
 - Soprintendenza per i beni librari e
documentari, Bologna
 - Biblioteca civica Gambalunga,
Rimini
 - Biblioteca comunale Ariostea,
Ferrara
 - Biblioteca comunale Classense,
Ravenna
 - Biblioteca comunale
dell'Archiginnasio, Bologna
 - Biblioteca comunale
Manfrediana, Faenza
 - Biblioteca comunale Passerini-
Landi, Piacenza
 - Biblioteca comunale Saffi, Forlì
 - Biblioteca comunale Trisi,
Lugo
 - Biblioteca comunale, Carpi
 - Biblioteca comunale, Imola
 - Biblioteca del Dipartimento di
Astronomia dell'Università,
Bologna
 - Biblioteca dell'Istituto di
Biblioteconomia e Paleografia
dell'Università di Parma
 - Biblioteca Panizzi, Reggio
Emilia
 - Istituzione Biblioteca
Malatestiana, Cesena
 - Biblioteca del Dipartimento di
Scienze Giuridiche
dell'Università di Ferrara
 - Regione Toscana, Florence
 - Biblioteca comunale, Arezzo
 - Biblioteca comunale, Empoli
 - Biblioteca comunale centrale,
Firenze
- Biblioteca Moreniana, Firenze
- Biblioteca di Scienze Sociali,
Università degli Studi di
Firenze
- Biblioteca Umanistica,
Università degli Studi di
Firenze
- Biblioteca comunale Labronica,
Livorno
- Biblioteca civica, Massa
- Biblioteca comunale
Forteguerrianiana, Pistoia
- Biblioteca comunale, Poppi
- Biblioteca comunale, Sesto
Fiorentino
- Biblioteca comunale Intronati,
Siena
- Biblioteca comunale
Leonardiana, Vinci
- CAB – Centro di Ateneo per le
Biblioteche Università degli studi di
Padova
 - Biblioteca del Dipartimento di
Filosofia
 - Biblioteca centrale della Facoltà
di lettere, CIS Maldura
 - Biblioteca dell'Orto Botanico
 - Biblioteca di storia della
medicina, Pinali antica
 - Biblioteca del Dipartimento di
Scienze dell'educazione
 - Biblioteca del Dipartimento di
Scienze dell'antichità
 - Biblioteca del Dipartimento di
Storia
 - Biblioteca del Monumento
nazionale di S. Giustina
 - Biblioteca del Seminario
Vescovile di Padova
 - Biblioteca dell'Abbazia di
Praglia
- Istituto Centrale per il Catalogo
Unico, Rome
 - Biblioteca Universitaria
Alessandrina, Rome
 - Biblioteca Casanatense, Rome
 - Biblioteca Vallicelliana, Rome
 - Biblioteca Angelica, Rome
 - Biblioteca di Storia Moderna e
Contemporanea, Rome
 - Biblioteca Universitaria,
Bologna
 - Biblioteca Palatina, Parma

- Portugal
 - Biblioteca Nacional, Lisbon
 - Biblioteca da Academia das Ciências de Lisboa
 - Biblioteca Geral da Universidade de Coimbra
 - Fundação Biblioteca Nacional (Rio de Janeiro) (*by special arrangement*)
- Russia
 - National Library of Russia, St Petersburg
 - Don State Public Library
 - Chelyabinsk State University Research
 - Tula Regional Universal Research Library
 - Kirov Regional Research Library named by A. Hertsen
 - Ulyanovsk Regional Research Library named by V. Lenin
 - Research Library of Petrozavodsk State University
 - Library of Kazan State University named by N. I. Lobachevsky
 - Astrakhan Regional Research Library
 - Arkhangelsk Regional Research Library named by N. A. Dobrolubov
 - National Library of Republic Karelia
- All-Russian State Library for Foreign Literature named by M. Rudomino
- Fundamental Library of the Nizhni Novgorod State University Library
- Spain
 - Biblioteca Nacional de España, Madrid
 - Histórica Marques de Valdecilla, University Complutense, Madrid
 - Universidad de Salamanca, Servicio de Archivos y Bibliotecas Biblioteca
- Sweden
 - Kungliga Biblioteket
 - Strängnäs Domkyrkobibliotek (Cathedral Library)
- United Kingdom
 - National Library of Scotland, Edinburgh
 - Advocates Library
 - A.K. Bell Library, Perth and Kinross Council
 - Royal Botanic Garden, Edinburgh
 - Sabhal Mòr Ostaig, Isle of Skye
 - Royal College of Physicians and Surgeons of Glasgow
 - National Museums of Scotland Library

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A SELECTIVE BIBLIOGRAPHY

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DOCUMENT HISTORY

APRIL 2003

- Section ‘The Consortium’s Aims’ - Aim 4 added, previous 4-5 renumbered 5-6.
- Section ‘The Consortium’s Objectives’ - Aim 4 added, previous 4-5 renumbered 5-6.
- Section ‘The Hand Press Book Database’ - Revised
- Section ‘The CERL Thesaurus file’ - Revised
- Section ‘The Consortium and its Committees’ - New members added, retired members deleted
- Section ‘List of Members’ - New members added

MAY 2005

- Section ‘History’ - Final paragraph was updated
- Section ‘The Consortium’s Objectives’ - Aim 3 revised slightly,
- Aim 4 revised slightly
- Aim 6 numbering corrected, and revised slightly
- Section ‘The Hand Press Book Database’ - Fully updated
- Section ‘The CERL Thesaurus file’ - Updated
- Section ‘The Consortium’s Management’ - Revised slightly
- Section ‘The Consortium and its Committees’ - New members added, retired members deleted
- Section ‘Forms of Membership’ - Group Membership revised, Associate Membership in Euro
- Section ‘List of Members’ - New members added
- Section ‘Bibliography’ - Updated